

ACCOUNT APPLICATION FORM

INDIVIDUAL / JOINT

个人 / 联名



Please tick the appropriate box 请在适当空格加 ✓
Please delete whichever inapplicable. 请删去不适用者

Account Opening Date 开户日期

PLEASE COMPLETE THE APPLICATION FORM USING BLOCK LETTERS WHERE APPLICABLE. 请以正楷填写

Company 公司

KAB BULLION HONG KONG LIMITED
三甲金银业有限公司

30 Floor, Chinachem Century Tower,
178 Gloucester Road, Wanchai, HK.
香港告士打道 178 号
华懋世纪广场 30 楼

Account No. 账户号码

A.E. Code 客户代表号码

- Individual Account 个人账户
 Joint Account 联名账户
 Online account 网上户口
 Yes 是 No 否
 Loco London Gold / Silver 伦敦金、银
 RMB Kilo Gold 人民币公斤条

KAB Bullion Hong Kong Limited - a member of the Chinese Gold & Silver Exchange Society (Member No.:9)
三甲金银业有限公司为香港金银业贸易场行员 (行员编号 009 号)。

First Account Applicant's Personal Information 第一户口申请人之个人资料

Name 姓名 Mr. 先生 Mrs. 太太 Miss 小姐

In English 英文

In Chinese 中文

ID / Passport 身份证 / 护照号码*

Nationality 国籍

Date of Birth 出生日期(dd/mm/yyyy) (日/月/年)

Tel. No. 电话

Fax No. 传真

E-mail Address 电邮地址

Residential Address 地址

- Owned 自置 Rented / Monthly Rental 租用 / 每月租金\$_____ Relative's 亲属的楼宇
 With Parents 与父母同住 Mortgaged / Monthly Installment 按揭 / 每月供款\$_____ Quarters 宿舍

Employer Name 雇主姓名

Employer Phone No. 公司电话

Year(s) with Existing Employer 任职年期

Position 职位

Office Address 公司地址

Correspondence Address 邮件地址 Home 住址 Office 公司

Licensed / Registered Person Declaration 持牌 / 注册人士申报

Are you a licensed/ registered person or a licensed/ registered firm in the Chinese Gold & Silver Exchange Society (CGSE) ?
客户是否香港金银业贸易场注册持牌法团的雇员?

- No 否 Yes, please specify 是, 请列明 _____

Do you have any relative(s) working in KAB BULLION HONG KONG LIMITED (which includes KAB BULLION HONG KONG LIMITED and its subsidiaries)?
阁下是否与三甲金银业有限公司任何雇员有亲属关系?

- No 否 Yes 是 Name 姓名: _____ Relationship 关系: _____

Second Account Applicant's Personal Information 第二户口申请人之个人资料Name 姓名 Mr. 先生 Mrs. 太太 Miss 小姐

| | |
|---------------|---------------|
| In English 英文 | In Chinese 中文 |
|---------------|---------------|

| | | |
|---------------------------|----------------|--|
| ID / Passport 身份证 / 护照号码* | Nationality 国籍 | Date of Birth 出生日期(dd/mm/yyyy) (日/月/年) |
|---------------------------|----------------|--|

| | | |
|-------------|------------|---------------------|
| Tel. No. 电话 | Fax No. 传真 | E-mail Address 电邮地址 |
|-------------|------------|---------------------|

| |
|------------------------|
| Residential Address 地址 |
|------------------------|

Owned 自置 Rented / Monthly Rental 租用 / 每月租金\$_____ Relative's 亲属的楼宇
 With Parents 与父母同住 Mortgaged / Monthly Installment 按揭 / 每月供款\$_____ Quarters 宿舍

| |
|--------------------|
| Employer Name 雇主姓名 |
|--------------------|

| | | |
|-------------------------|-------------------------------------|-------------|
| Employer Phone No. 公司电话 | Year(s) with Existing Employer 任职年期 | Position 职位 |
|-------------------------|-------------------------------------|-------------|

| |
|---------------------|
| Office Address 公司地址 |
|---------------------|

Correspondence Address 邮件地址 Home 住址 Office 公司**Licensed / Registered Person Declaration 持牌 / 注册人士申报**

Are you a licensed/ registered person or a licensed/ registered firm in the Chinese Gold & Silver Exchange Society (CGSE) ?

客户是否香港金银业贸易场注册持牌法团及其的雇员?

 No 否 Yes, please specify 是, 请列明 _____

Do you have any relative(s) working in KAB BULLION HONG KONG LIMITED(which includes KAB BULLION HONG KONG LIMITED and its subsidiaries)?

阁下是否与三甲金银业有限公司任何雇员有亲属关系?

 No 否 Yes 是 Name 姓名: _____ Relationship 关系: _____**Account Applicant's Other Information 户口申请人的其它数据**

Are you the ultimate beneficial owner(s) of the account?

阁下是否户口的最终受益人?

 Yes 是 No, Please provide detail information of below boxes 否, 请提供下列数据

| | | |
|---|---------------------------|----------------------|
| Name 姓名 _____ (last Name 姓) _____ (Given Name 名) | ID / Passport 身份证 / 护照号码* | Contact Phone No. 电话 |
|---|---------------------------|----------------------|

| |
|------------|
| Address 地址 |
|------------|

Do you maintain any other account(s) with KAB BULLION HONG KONG LIMITED? 阁下现时是否已持有其它三甲金银业有限公司的户口?

 No 否 Yes, Please provide detail information of below boxes 是, 请提供下列数据

| | | |
|---|-------------------|------------------|
| Name 姓名 _____ (last Name 姓) _____ (Given Name 名) | Account Type 户口类别 | Account No. 户口号码 |
|---|-------------------|------------------|

Account Applicant's Bank Details 户口申请人的银行资料

(Funds will be transferred to the following account upon your fund withdrawal instruction.) (根据客户的提款指示, 款项将转入以下户口)

| | |
|---------------------------|----------------------------|
| Main Banker's Name 主要银行名称 | Bank Branch Address 银行分行地址 |
|---------------------------|----------------------------|

| | | |
|--------------|------------------------|---|
| A/C No. 户口号码 | A/C Holder's Name 户口名称 | <input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民币 |
|--------------|------------------------|---|

| | |
|----------------------------|----------------------------|
| Other Banker's Name 其它银行名称 | Bank Branch Address 银行分行地址 |
|----------------------------|----------------------------|

| | | |
|--------------|------------------------|---|
| A/C No. 户口号码 | A/C Holder's Name 户口名称 | <input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民币 |
|--------------|------------------------|---|

First Account Applicant's Financial Profile and Investment Experience 第一户口申请人财务纪录及投资经验

(The Companies are required to obtain this information to fulfill the "know your client requirements" of the Chinese Gold & Silver Exchange Society)
(所需资料是根据香港金银业贸易场所制定的认识你的客户要求而索取)

| | | | | | | | | | | | | | | | | |
|--|---|--|---|---|-----------|-------|--------------------------------|----------|-------|--|--------------------------------|-------|---|---------------------|-------|--|
| <p>Estimated Annual Income (HK\$) 估计年薪 (港元)</p> <p><input type="checkbox"/> HK\$200,000 - HK\$500,000</p> <p><input type="checkbox"/> HK\$500,001 - HK\$1,000,000</p> <p><input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> Above HK\$5,000,000 以上</p> <p><input type="checkbox"/> Other Income Source : 其它收入来源 : _____</p> | <p>Approximate net asset value (HK\$) (Total Assets – Total Liabilities) 估计资产净值 (港元) (总资产 - 总负债)</p> <p><input type="checkbox"/> Under HK\$500,000 以下</p> <p><input type="checkbox"/> HK\$500,000 - HK\$3,000,000</p> <p><input type="checkbox"/> HK\$3,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> HK\$5,000,001 - HK\$30,000,000</p> <p><input type="checkbox"/> Above HK\$30,000,000 以上</p> | | | | | | | | | | | | | | | |
| <p>Investment Objectives 投资目标</p> <p><input type="checkbox"/> Long Term 长线 <input type="checkbox"/> Capital Growth 增值 <input type="checkbox"/> Medium Term 中线</p> <p><input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Short Term 短线 <input type="checkbox"/> Other 其它 _____</p> | | | | | | | | | | | | | | | | |
| <p>Investment Experience 投资经验(年资)</p> <p><input type="checkbox"/> Nil 没有 <input type="checkbox"/> Less than 1 Year 少于1年 <input type="checkbox"/> 1-3Years 年 <input type="checkbox"/> 3-5Years 年 <input type="checkbox"/> 5-10Years 年 <input type="checkbox"/> Over 10Years 超过10年</p> | | | | | | | | | | | | | | | | |
| <p>Products 投资产品</p> <table style="width:100%; border: none;"> <tr> <td style="width:33%;"></td> <td style="width:33%; text-align: center;">Average Portfolio Value (HK\$) 过去三年平均货值 (港元)</td> <td style="width:33%; text-align: center;">Average Portfolio Value (HK\$) 过去三年平均货值 (港元)</td> </tr> <tr> <td>Shares 股票</td> <td>_____</td> <td>Futures / Option 期货 / 期权 _____</td> </tr> <tr> <td>Bonds 债券</td> <td>_____</td> <td>Purpose :Speculation / Hedging / Investment 目的: <input type="checkbox"/> 投机 <input type="checkbox"/> 对冲 <input type="checkbox"/> 投资</td> </tr> <tr> <td>Leverage Foreign Exchange 杠杆外汇</td> <td>_____</td> <td>Other relevant Investment experience 其它投资经验 _____</td> </tr> <tr> <td>Precious Metals 贵金属</td> <td>_____</td> <td></td> </tr> </table> | | | Average Portfolio Value (HK\$) 过去三年平均货值 (港元) | Average Portfolio Value (HK\$) 过去三年平均货值 (港元) | Shares 股票 | _____ | Futures / Option 期货 / 期权 _____ | Bonds 债券 | _____ | Purpose :Speculation / Hedging / Investment 目的: <input type="checkbox"/> 投机 <input type="checkbox"/> 对冲 <input type="checkbox"/> 投资 | Leverage Foreign Exchange 杠杆外汇 | _____ | Other relevant Investment experience 其它投资经验 _____ | Precious Metals 贵金属 | _____ | |
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| Shares 股票 | _____ | Futures / Option 期货 / 期权 _____ | | | | | | | | | | | | | | |
| Bonds 债券 | _____ | Purpose :Speculation / Hedging / Investment 目的: <input type="checkbox"/> 投机 <input type="checkbox"/> 对冲 <input type="checkbox"/> 投资 | | | | | | | | | | | | | | |
| Leverage Foreign Exchange 杠杆外汇 | _____ | Other relevant Investment experience 其它投资经验 _____ | | | | | | | | | | | | | | |
| Precious Metals 贵金属 | _____ | | | | | | | | | | | | | | | |

Second Account Applicant's Financial Profile and Investment Experience 第二户口申请人财务纪录及投资经验

(The Companies are required to obtain this information to fulfill the "know your client requirements" of the Chinese Gold & Silver Exchange Society)
(所需资料是根据香港金银业贸易场所制定的认识你的客户要求而索取)

| | | | | | | | | | | | | | | | | |
|--|---|--|---|---|-----------|-------|--------------------------------|----------|-------|--|--------------------------------|-------|---|---------------------|-------|--|
| <p>Estimated Annual Income (HK\$) 估计年薪 (港元)</p> <p><input type="checkbox"/> HK\$200,000 - HK\$500,000</p> <p><input type="checkbox"/> HK\$500,001 - HK\$1,000,000</p> <p><input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> Above HK\$5,000,000 以上</p> <p><input type="checkbox"/> Other Income Source : 其它收入来源 : _____</p> | <p>Approximate net asset value (HK\$) (Total Assets – Total Liabilities) 估计资产净值 (港元) (总资产 - 总负债)</p> <p><input type="checkbox"/> Under HK\$500,000 以下</p> <p><input type="checkbox"/> HK\$500,000 - HK\$3,000,000</p> <p><input type="checkbox"/> HK\$3,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> HK\$5,000,001 - HK\$30,000,000</p> <p><input type="checkbox"/> Above HK\$30,000,000 以上</p> | | | | | | | | | | | | | | | |
| <p>Investment Objectives 投资目标</p> <p><input type="checkbox"/> Long Term 长线 <input type="checkbox"/> Capital Growth 增值 <input type="checkbox"/> Medium Term 中线</p> <p><input type="checkbox"/> Dividend Income 股息收息 <input type="checkbox"/> Short Term 短线 <input type="checkbox"/> Other 其它 _____</p> | | | | | | | | | | | | | | | | |
| <p>Investment Experience 投资经验(年资)</p> <p><input type="checkbox"/> Nil 没有 <input type="checkbox"/> Less than 1 Year 少于1年 <input type="checkbox"/> 1-3Years 年 <input type="checkbox"/> 3-5Years 年 <input type="checkbox"/> 5-10Years 年 <input type="checkbox"/> Over 10Years 超过10年</p> | | | | | | | | | | | | | | | | |
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| | Average Portfolio Value (HK\$) 过去三年平均货值 (港元) | Average Portfolio Value (HK\$) 过去三年平均货值 (港元) | | | | | | | | | | | | | | |
| Shares 股票 | _____ | Futures / Option 期货 / 期权 _____ | | | | | | | | | | | | | | |
| Bonds 债券 | _____ | Purpose :Speculation / Hedging / Investment 目的: <input type="checkbox"/> 投机 <input type="checkbox"/> 对冲 <input type="checkbox"/> 投资 | | | | | | | | | | | | | | |
| Leverage Foreign Exchange 杠杆外汇 | _____ | Other relevant Investment experience 其它投资经验 _____ | | | | | | | | | | | | | | |
| Precious Metals 贵金属 | _____ | | | | | | | | | | | | | | | |

Contract Notes and Statements 成交单据及结单

Email Address : (Max. 50 Characters)
选择电邮方式传递请填上电邮地址

Signing Arrangement 签署安排

(Only applicable for joint account applicants) (只适用于联名户口申请者)

You decide that the valid documents in connection with the operation (including fund withdrawal and asset transfer) of your account must be signed by any _____ of account holders.

阁下决定所有户口操作(包括提款及资产转移) 的有效档必须由其中_____ 位户口持有人签署。

Declaration 声明

The Account Applicant(s) represent(s) that the information on this Account Application Form is true, complete and correct and that the representation in the attached Customer Agreement of KAB BULLION HONG KONG LIMITED (including other appendix and supplementary documents if applicable) (Agreement) are accurate. Information on this Account Application Form and representations in the Agreement are collectively referred as "The Account Opening Information". KAB BULLION HONG KONG LIMITED is entitled to rely fully on such Account Opening Information for all purposes, unless KAB BULLION HONG KONG LIMITED receives notice in writing of any change. KAB BULLION HONG KONG LIMITED is authorized at any time to contact anyone, including but without limitation to banks, brokers or any credit agency for purpose of verifying the Account Opening Information.

All transactions to be concluded with or through KAB BULLION HONG KONG LIMITED shall be subject to the terms and conditions of the Agreement. Please read the Agreement carefully before signing this Account Application Form. By signing below, the Account Applicant(s) confirm(s) that he/she/they has/have read, understood and accepted the Agreement, in particular the section entitled Risk Disclosure Statements and shall be bound by the Agreement as it may be amended from time to time.

户口申请人兹声明在本开户申请表内的数据属实、完整及正确，而附上三甲金银业有限公司的【客户协议】(如适用包括其它附件)(以下简称《协议》)内的一切申述准确。本开户申请表内的数据及《协议》内的申述，以下统称【开户资料】，除非三甲金银业有限公司接到更改有关【开户资料】内容的书面通知，三甲金银业有限公司有权在任何用途上完全依赖这些【开户资料】。三甲金银业有限公司有权随时联络任何人，包括但不限于公司之银行、经纪或任何信贷调查机构，以求证实【开户资料】内所载之内容。

所有由户口申请人或透过三甲金银业有限公司进行之交易均受《协议》之条文限制。请于申请户口前细阅《协议》各项条文。于下方签署乃确认公司已细阅、明白及同意《协议》(尤其【风险披露声明】一节) 及并接受该等现时及不时修改的条文约束。

Client's Signature 客户签署

First Account Applicant's Signature
第一户口申请人签署

X

Date
日期

Second Account Applicant's Signature
第二户口申请人签署

X

Date
日期

FOR OFFICE USE ONLY

Name of Account Executive

The Client has been known to
The Account Executive for

Years

Name of Introducer

The Client has been known to
the Introducer

Years

Signature of A.E / Introducer:

Date

Recommendations

Documentation Checked By

Date

TERMS & CONDITIONS

Commission Rate:

Trading Limit

Term:

COMMENTS

Approved By Head / Deputy Head:

Date